

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

**NONPROVISIONAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	00684.002700.2
First Named Inventor or Application Identifier	
Yasuyuki UNNO	
Express Mail Label No.	

2490625409  
10/625409  
S. U. S. PTO

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission ( <i>if applicable, all necessary</i> )	
3. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages <b>65</b></span>	a. <input type="checkbox"/> Computer Readable Form (CRF)	
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">Total Sheets <b>25</b></span>	b. Specification Sequence Listing on:	
5. <input checked="" type="checkbox"/> Oath or Declaration <span style="float: right;">Total Pages <b>2</b></span>	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
a. <input type="checkbox"/> Newly executed (original or copy)	ii. <input type="checkbox"/> paper	
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) ( <i>for continuation/divisional with Box 17 completed</i> )	c. <input type="checkbox"/> Statements verifying identity of above copies	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	<b>ACCOMPANYING APPLICATION PARTS</b>	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement ( <i>when there is an assignee</i> )	<input type="checkbox"/> Power of Attorney
	11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )	
	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations

#### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*  Power of Attorney

11.  English Translation Document *(if applicable)*

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 09/523,735, filed March 13, 2000  
Prior application information: Examiner P. Kim Group/Art Unit: 2851

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

05514

Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  or  Correspondence address below

NAME			
Address			
City	State		Zip Code
Country	Telephone		Fax

+

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	3-20 =	0	X \$ 18.00 =	\$0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3 =	0	X \$ 84.00 =	\$0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$280.00 =	\$
				BASIC FEE (37 CFR 1.16(a))	\$750.00
				Total of above Calculations =	\$750.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
				TOTAL =	\$750.00

## 19. Small entity status

- a.  A small entity statement is enclosed
- b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c.  Is no longer claimed.

20.  A check in the amount of \$750.00 to cover the filing fee is enclosed.21.  A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME | Steven E. Warner, Registration No. 33,326

SIGNATURE | 

DATE | July 23, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Previous Examiner: P. Kim  
Yasuyuki UNNO :  
Application No.: Divisional of Application ) Previous Group Art Unit: 2851  
No. 09/523,735, filed March 13, 2000 :  
Filed: July 23, 2003 )  
For: PROJECTION OPTICAL SYSTEM AND :  
PROJECTION EXPOSURE APPARATUS )  
HAVING THE SAME :  
)

**Mail Stop Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Preliminary Amendment and Letter Submitting Substitute Specification in the above-identified application.

No additional fee is required.

The fee has been calculated as shown below:

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	3	MINUS	20	= 0	x \$9 \$18	\$0.00
INDEP. CLAIMS	2	MINUS	3	= 0	x \$42 \$84	\$0.00
Fee for Multiple Dependent claims \$140/\$280						\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$0.00

- °Verified Statement claiming small entity status is enclosed, if not filed previously.
- A check in the amount of \$\_\_\_\_\_ is enclosed.
- Charge \$\_\_\_\_ to Deposit Account No. 06-1205. A duplicate of this sheet is enclosed.
- Any prior general authorization to charge an issue fee under 37 CFR 1.18 to Deposit Account No. 06-1205 is hereby revoked. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 06-1205. A duplicate of this paper is enclosed.
- A check in the amount of \$\_\_\_\_\_ to cover the fee for \_\_\_\_\_ month extension is enclosed.
- A check in the amount of \$\_\_\_\_ to cover the Information Disclosure Statement fee is enclosed.
- Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicant  
Steven E. Warner  
Registration No. 33,326

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SEW/eab

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